



**DETAILS OF EQUITY HOLDING OF INSURERS**

**PART A:**

**PARTICULARS OF THE SHREHOLDING PATTERN OF THE KOTAK MAHINDRA GENERAL INSURANCE COMPANY LIMITED  
 AS AT QUARTER ENDED SEPTEMBER 30, 2022**

Sl. No.	Category	No. of Investors	No. of shares held	% of share-holdings	Paid up equity (Rs. In lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of shares (VI)	As a percentage of Total Shares held (VII) = (VI)/(III)*100	Number of shares (VIII)	As a percentage of Total Shares held (IX) = (VIII)/(III)*100
(I)	(II)		(III)	(IV)	(V)				
<b>A</b>	<b>Promoters &amp; Promoters Group</b>								
<b>A.1</b>	<b>Indian Promoters</b>								
i)	Individuals/HUF (Names of major shareholders):		-	-	-	-	-	-	-
ii)	Bodies Corporate:		-	-	-	-	-	-	-
iii)	Financial Institutions/ Banks		-	-	-	-	-	-	-
	i) Kotak Mahindra Bank Limited	1	60,50,00,000	100%	60,500.00	-	-	-	-
iv)	Central Government/ State Government(s) / President of India		-	-	-	-	-	-	-
v)	Persons acting in concert (Please specify)		-	-	-	-	-	-	-
vi)	Any other (Please specify)		-	-	-	-	-	-	-
<b>A.2</b>	<b>Foreign Promoters</b>								
i)	Individuals (Name of major shareholders):		-	-	-	-	-	-	-
ii)	Bodies Corporate:		-	-	-	-	-	-	-
iii)	Any other (Please specify)		-	-	-	-	-	-	-
<b>B.</b>	<b>Non Promoters</b>								
<b>B.1</b>	<b>Public Shareholders</b>								
<b>1.1.1)</b>	<b>Institutions</b>								
i)	Mutual Funds		-	-	-	-	-	-	-
ii)	Foreign Portfolio Investors		-	-	-	-	-	-	-
iii)	Financial Institutions/Banks		-	-	-	-	-	-	-
iv)	Insurance Companies		-	-	-	-	-	-	-
v)	FII belonging to Foreign promoter		-	-	-	-	-	-	-
vi)	FII belonging to Foreign Promoter of Indian Promoter		-	-	-	-	-	-	-
vii)	Provident Fund/Pension Fund		-	-	-	-	-	-	-
viii)	Alternative Investment Fund		-	-	-	-	-	-	-
ix)	Any other (Please specify)		-	-	-	-	-	-	-
<b>1.2)</b>	<b>Central Government/ State Government(s)/ President of India</b>		-	-	-	-	-	-	-
<b>1.3)</b>	<b>Non-Institutions</b>								
i)	Individual share capital upto Rs. 2 Lacs		-	-	-	-	-	-	-
ii)	Individual share capital in excess of Rs. 2 Lacs		-	-	-	-	-	-	-
iii)	NBFCs registered with RBI		-	-	-	-	-	-	-
iv)	Others:		-	-	-	-	-	-	-
	- Trusts		-	-	-	-	-	-	-
	- Non Resident Indian		-	-	-	-	-	-	-
	- Clearing Members		-	-	-	-	-	-	-
	- Non Resident Indian Non Repatriable		-	-	-	-	-	-	-
	- Bodies Corporate		-	-	-	-	-	-	-
	- IEPF		-	-	-	-	-	-	-
v)	Any other (Please Specify)		-	-	-	-	-	-	-
<b>B.2</b>	<b>Non Public Shareholders</b>								
<b>2.1)</b>	<b>Custodian/DR Holder</b>		-	-	-	-	-	-	-
<b>2.2)</b>	<b>Employee Benefit Trust</b>		-	-	-	-	-	-	-
<b>2.3)</b>	<b>Any other (Please specify)</b>		-	-	-	-	-	-	-
<b>Total</b>			<b>60,50,00,000</b>	<b>100%</b>	<b>60,500.00</b>				

**Foot Notes:**

(a) All holdings, above 1% of the paid up equity, have to be separately disclosed.

(b) Indian Promoters - As defined under Regulation 2(1)(a) of the Insurance Regulatory and Development Authority (Registration of Indian Insurance Companies) Regulations, 2000

(c) Where a company is listed, the column "Shares pledged or otherwise encumbered" shall not be applicable to "Non Promoters" category

PARTICULARS OF THE SHAREHOLDING PATTERN IN THE INDIAN PROMOTER COMPANY(S) / INDIAN INVESTOR(S) AS INDICATED AT (A) ABOVE



PART B:

Name of the Indian Promoter / Indian Investor: Kotak Mahindra Bank Limited  
AS AT QUARTER ENDED SEPTEMBER 30, 2022

Sl. No.	Category	No. of Investors	No. of shares held	% of share-holdings	Paid up equity (Rs. In lakhs)	Shares pledged or otherwise encumbered	Shares under Lock in Period
(I)	(II)		(III)	(IV)	(V)	Number of shares (VI) As a percentage of Total Shares held (VII) = (VI)/(III)*100	Number of shares (VIII) As a percentage of Total Shares held (IX) = (VIII)/(III)*100
<b>A</b>	<b>Promoters &amp; Promoters Group</b>						
<b>A.1</b>	<b>Indian Promoters</b>						
i)	Individuals/HUF (Names of major shareholders):						
	(i) Uday Suresh Kotak	1	51,09,27,100	25.73%	25,546.36	0	0.00%
	(ii) Suresh A Kotak (HUF)	1	1,10,000	0.01%	5.50	0	0.00%
	(iii) Pallavi Kotak	1	11,11,580	0.06%	55.58	0	0.00%
	(iv) Suresh Amritlal Kotak	1	1,00,000	0.01%	5.00	0	0.00%
	(v) Indra Suresh Kotak	1	20,00,000	0.10%	100.00	0	0.00%
	(vi) Janak Dinkarra Desai	1	9,65,000	0.05%	48.25	0	0.00%
	(vii) Aarti Neal Chandaria	1	57,360	0.00%	2.87	0	0.00%
ii)	Bodies Corporate:						
	(i)	-	-	-	-	-	0.00%
	(ii)	-	-	-	-	-	0.00%
	(iii)	-	-	-	-	-	0.00%
iii)	Financial Institutions/ Banks	-	-	0.00%	-	-	0.00%
iv)	Central Government/ State Government(s) / President of India	-	-	0.00%	-	-	0.00%
v)	Persons acting in concert (Please specify)	-	-	0.00%	-	-	0.00%
vi)	Any other (Please specify)						
	(i) KOTAK TRUSTEE COMPANY PVT. LTD (These shares are held by Kotak Trustee Company Pvt. Ltd, as a trustee for USK Benefit Trust – II of which, Mr. Uday Suresh Kotak is the sole beneficiary)	1	44,000	0.00%	2.20	-	0.00%
	(ii) KOTAK TRUSTEE COMPANY PVT. LTD (These shares are held by Kotak Trustee Company Pvt. Ltd, as a trustee for USK Benefit Trust – III of which, Mr. Uday Suresh Kotak is the sole beneficiary)	1	1,90,356	0.01%	9.52	-	0.00%
<b>A.2</b>	<b>Foreign Promoters</b>						
i)	Individuals (Name of major shareholders):						
	(i)	0	0	0.00%	-	-	0.00%
ii)	Bodies Corporate:						
	(i)	0	0	0.00%	-	-	0.00%
iii)	Any other (Please specify)	0	0	0.00%	-	-	0.00%
<b>B.</b>	<b>Non Promoters</b>						
<b>B.1</b>	<b>Public Shareholders</b>						
1.1)	Institutions						
i)	Mutual Funds	37	19,71,45,042	9.93%	9,857.25	13,66,435	0.69%
ii)	Foreign Portfolio Investors	1080	77,96,57,200	39.26%	38,982.86	-	0.00%
iii)	Financial Institutions/Banks	17	16,73,561	0.08%	83.68	-	0.00%
iv)	Insurance Companies	35	16,74,30,761	8.43%	8,371.54	-	0.00%
v)	FII belonging to Foreign promoter of Indian Promoter (e)	0	-	0.00%	-	-	0.00%
vi)	FII belonging to Foreign promoter of Indian Promoter (e)	0	-	0.00%	-	-	0.00%
vii)	Provident Fund/Pension Fund	1	2,38,21,882	1.20%	1,191.09	-	0.00%
viii)	Alternative Investment Fund	45	36,24,398	0.18%	181.22	70,626	1.95%
ix)	Any other						
	Foreign Institutional Investors	5	2,21,117	0.01%	11.06	-	0.00%
	Foreign Bank	2	3,28,13,072	1.65%	1,640.65	-	0.00%
	Qualified Institutional Buyers	2	27,34,616	0.14%	136.73	-	0.00%
1.2)	Central Government/ State Government/SV President of India	0	-	0.00%	-	-	0.00%
1.3)	Non-Institutions						
i)	Individual share capital upto Rs. 2 Lacs	521735	8,77,18,781	4.42%	4,385.94	78,52,557	8.95%
ii)	Individual share capital in excess of Rs. 2 Lacs	320	8,61,20,850	4.34%	4,306.04	35,08,977	4.07%
iii)	NBFCs registered with RBI	18	6,53,604	0.03%	32.68	3,800	0.58%
iv)	Others:						
	- Trusts	68	3,90,695	0.02%	19.53	2,167	0.55%
	- Non Resident Indian	16776	1,05,63,682	0.53%	528.18	87,671	0.83%
	- Clearing Members	142	11,05,627	0.06%	55.28	0	0.00%
	- Non Resident Indian Non Reatriable	0	-	0.00%	-	-	0.00%
	- Bodies Corporate	3972	2,84,29,414	1.43%	1,421.47	19,38,045	6.82%
	- IEPF	1	35,38,440	0.18%	176.92	0	0.00%
	- HUF	10492	22,07,352	0.11%	110.37	3,04,634	13.80%
	- Directors and their relatives (excluding independent directors and nominee directors)	17	1,12,75,539	0.57%	563.78	-	0.00%
	- Key Managerial Personnel	1	12,98,356	0.07%	64.92	-	0.00%
v)	Any other						
	Foreign National	0	-	0.00%	-	-	0.00%
	Foreign Portfolio Investors (Individuals)	2	917	0.00%	0.05	-	0.00%
	Foreign Corporate Bodies	2	2,78,08,026	1.40%	1,390.40	-	0.00%
	Clearing Members	0	-	0.00%	-	-	0.00%
	Foreign Bank	0	-	0.00%	-	-	0.00%
	Overseas Corporate Bodies	0	-	0.00%	-	-	0.00%
<b>B.2</b>	<b>Non Public Shareholders</b>						
2.1)	Custodian/DR Holder	0	-	0.00%	-	-	0.00%
2.2)	Employee Benefit Trust	0	-	0.00%	-	-	0.00%
2.3)	Any other (Please specify)	0	-	0.00%	-	-	0.00%
<b>Total</b>		<b>5,54,779</b>	<b>1,98,57,38,328</b>	<b>100%</b>	<b>99,286.92</b>	<b>1,51,34,912</b>	<b>38.25%</b>