

PERIODIC DISCLOSURES

FORM NL-31 RELATED PARTY TRANSACTIONS - SEPTEMBER 30, 2018

(Rs in Lakhs)

Sr.No.	Name of the Related Party	Nature of Relationship with the Company	Description of Transactions / Categories	Consideration paid / received*						
				For the quarter ended September 30,2018	Upto the quarter ended September 30,2018	For the quarter ended September 30,2017	Upto the quarter ended September 30,2017			
1	KOTAK INVESTMENT ADVISORS LTD	Fellow Subsidiary	Claims Paid	0.68	0.91	0.14	0.14			
			Premium Deposits	-0.50	0.71	1.01	1.01			
			Premium Income	0.65	1.34	0.95	2.26			
2	KOTAK MAHINDRA ASSET MANAGEMENT COMPANY LTD	Fellow Subsidiary	Claims Paid	2.86	3.31	1.97	2.35			
			Interest Paid	-	-	-	-			
			Premium Deposits	0.68	0.69	-0.39	0.00			
			Premium Income	2.57	3.75	1.83	3.59			
			Premium Received in Advance	-	-	-	-			
3	KOTAK MAHINDRA INVESTMENT LTD	Fellow Subsidiary	Premium Deposits	-	0.00003	-	-			
			Premium Income	1.18	2.55	0.56	2.26			
			Premium Received in Advance	-	-	-	-			
			Claims Paid	-	0.19	-	-			
4	KOTAK MAHINDRA PRIME LTD	Fellow Subsidiary	Advance Commission	0.11	0.36	0.18	0.40			
			Claims Paid	-0.05	0.49	31.00	57.12			
			Commission Paid	28.84	55.91	27.07	51.80			
			Commission Payable	-1.57	7.63	2.31	9.14			
			Payable to Group Companies	-	-	-	-			
			Policy Suspend	-	-	-	-			
			Premium Deposits	11.84	29.76	-5.70	10.34			
			Premium Income	2.82	7.94	2.00	9.00			
			Premium Received in Advance	0.21	0.21	0.00	0.00			
			Int Accrued - Bonds/ Debentures	-15.07	-	13.61	13.61			
			Interest Income	4.18	16.85	13.61	13.61			
			Investment in Bonds	-500	-	500	500			
			Outstanding Payables	-0.09	0.70	-	-			
			Reimbursement to Group Companies	1.03	1.60	-	-			
			Rent Premises	0.46	0.92	-	-			
			5	KOTAK SECURITIES LIMITED	Fellow Subsidiary	Claims Paid	2.14	4.81	1.61	3.31
						Claims Payable	-	-	-	-
						Premium Deposits	1.48	1.69	-	0.00014
Premium Income	7.70	16.56				7.27	15.58			
Premium Received in Advance	-0.20	0.24				-	-			
6	Kotak Mahindra Bank Ltd.	Holding Company	Advance Commission	1.41	4.46	0.93	4.23			
			Bank Balance	392.71	921.47	-179.41	239.06			
			Claims Paid	19.86	53.94	39.23	50.09			
			Claims Payable	-	-	0.00	0.00			
			Commission Paid	383.39	611.05	217.74	371.01			
			Commission Payable	32.70	123.12	3.41	68.63			
			Interest Income	-	-	-	-			
			Investment in Equity Capital	-	4500	-	4000			
			Outstanding Payables	43.49	80.85	98.90	113.98			
			Outstanding Receivables	-	-	4.53	4.53			
			Bank Charges	1.30	1.47	-	-			
			Premium Deposits	46.29	170.49	-89.94	61.15			
			Premium Income	71.43	117.56	72.58	120.92			
			Premium Received in Advance	14	17	-0.92	0.00			
			Purchase of Bonds/Debtenture	-	-	-	-			
			Purchase of Fixed Assets	-	-	-	-			
			Reimbursement From Group Companies	-	-	-	-			
			Reimbursement to Group Companies	108.07	214.56	109.40	215.59			
			Reimbursement to Group Companies	-	-	-	-			
			Reimbursement to Group Companies	-	-	-	-			
			Reimbursement to Group Companies	-	-	-	-			
			Transfer of Employee Liability from Group Companies	1.27	6.94	4.55	4.55			
			Transfer of Employee Liability from Group Companies	-	-	-	-			
Transfer of Employee Liability to Group Companies	-	-	-	-						
7	Kotak Mahindra Life Insurance Limited	Fellow Subsidiary	Employee Insurance Premium	1.22	2.43	0.77	1.53			
			Outstanding Payables	2.18	4.79	3.90	3.90			
			Premium Income	4.59	7.58	0.15	0.15			
			Prepaid Expenses	-1.22	2.52	-0.77	1.63			
			Premium Deposits	0.84	0.84	-	-			
			Receivable from Group Company	1.88	1.88	-	-			
			Reimbursement to Group Companies	3.15	5.85	5.31	11.31			
			Reimbursement From Group Companies	0.49	0.49	-	-			
			Rent Recovery	1.24	1.24	-	-			
			Rent Premises	3.36	6.64	-	-			
			Premium Received in Advance	0.11	0.37	-	-			
			Claims Paid	2.90	2.90	-	-			
			Transfer of Employee Liability from Group Companies	-	-	-	-			
8	Kotak Mahindra Capital Company Limited	Fellow Subsidiary	Premium Income	6.67	6.67	0.72	2.24			
			Claims Paid	-2.13	1.11	0.29	0.29			
			Premium Received in Advance	0.07	0.07	-	-			
			Premium Deposits	0.00	0.00	-0.21	0.00			
9	Kotak Mahindra Trusteeship Services Limited	Fellow Subsidiary	Premium Income	-	-	-	-			
			Premium Income	0.25	0.25	0.29	0.29			
10	Kotak Mahindra Pension Fund Limited	Fellow Subsidiary - 95.71% held by Kotak Mahindra Asset Management Company Limited (a subsidiary of KMBL), 4.29% is held by KMBL	Premium Income	-	-	-	-			
			Premium Income	-	-	-	-			
11	BALASUBRAMANIAN T S	Relative of CEO	Premium Income	-	-	-	-			
12	Mahesh Balasubramanian	Managing Director & CEO	Premium Income	-	-	-	-			
			Claims Paid	-	0.14	-	-			
13	Relatives Of Mahesh Balasubramanian		Remuneration of KMP	87.82	158.09	110.73	143.46			
			Premium Income	-	-	-	-			
14	Phoenix ARC Private Limited		Premium Deposits	-	-	-	-			
			Premium Income	0.28	1.66	0.70	2.35			
15	Uday Kotak and Relatives	Executive Vice Chairman & Managing Director of of Holding Company - Kotak Mahindra Bank Limited	Premium Deposits	-	-	-	-			
			Premium Income	0.20	0.41	-	0.82			
16	Kotak Commodities Services Private Ltd		Premium Income	0.72	0.85	0.93	1.07			
17	Infina Finance Private Ltd		Premium Income	-	0.26	0.37	0.37			
18	Harisiddha Trading and Finance Pvt Ltd		Premium Income	-	-	-	0.03			
19	Palko Properties Private Ltd		Premium Income	-	-	-	0.03			
				782	7,189	1,003	6,119			