

PERIODIC DISCLOSURES

FORM NL-31 RELATED PARTY TRANSACTIONS - March 31, 2019

(Rs in Lakhs)

Sr.No.	Name of the Related Party	Nature of Relationship with the Company	Description of Transactions / Categories	Consideration paid / received*			
				For the quarter ended March 31,2019	Upto the quarter ended March 31,2019	For the quarter ended March 31,2018	Upto the quarter ended March 31,2018
1	KOTAK INVESTMENT ADVISORS LTD	Fellow Subsidiary	Claims Paid	0.27	1.29	0.55	0.73
			Claims Payable	-	-	-	-
			Policy Deposit	-	-	-	-
			Premium Deposits	0.00001	0.00007	(0.26)	1.20
			Premium Received in Advance	0.61	0.61	0.42	0.42
			Premium Income	1.95	5.89	3.23	6.91
				2.03	4.99	-	0.38
2	KOTAK MAHINDRA ASSET MANAGEMENT COMPANY LTD	Fellow Subsidiary	Interest Paid	-	-	0.00	0.0009
			Premium Deposits	-	0.0011	(0.96)	0.0011
			Premium Income	1.64	9.02	1.95	7.69
			Premium Received in Advance	(0.40)	0.24	(0.22)	-
			Premium Deposits	(2.00)	0.00003	-	0.00003
			Premium Income	1.73	5.64	0.18	3.04
			Premium Received in Advance	0.38	0.68	-	-
3	KOTAK MAHINDRA INVESTMENT LTD	Fellow Subsidiary	Claims Paid	0.23	0.83	-	-
			Advance Commission	(0.64)	-	0.15	0.44
			Claims Paid	0.88	1.29	-	-
			Commission Paid	27.54	110.59	27.26	107.08
			Commission Payable	(0.13)	8.92	1.82	9.61
			Policy Suspend	-	-	-	-
			Premium Deposits	(6.12)	12.35	(9.66)	19.62
4	KOTAK MAHINDRA PRIME LTD	Fellow Subsidiary	Premium Income	1.62	12.19	2.72	15.11
			Premium Received in Advance	0.37	0.65	-	-
			Int Accrued - Bonds/ Debentures	-	-	14.63	38.02
			Transfer of Employee Liability from Group Companies	0.15	0.15	-	-
			Interest Income	-	16.85	11.01	34.40
			Investment in Bonds	-	-	500.00	1,000.00
			Outstanding Payables	(0.84)	0.30	2.01	2.01
			Reimbursement to Group Companies	0.58	3.30	1.86	1.86
			Rent Premises	0.46	1.84	1.53	1.53
			Claims Paid	4.47	16.59	0.16	2.21
			Claims Payable	-	-	-	-
			Premium Deposits	(0.14)	0.34	0.04	0.34
			Premium Income	5.05	38.93	3.65	35.75
			Reimbursement to Group Companies	1.50	1.50	-	-
			Premium Received in Advance	1.49	3.64	1.87	1.87
			Advance Commission	(4.33)	2.83	0.47	4.07
			Bank Balance	(83.77)	701.01	420.96	889.23
			Claims Paid	28.09	95.46	6.56	24.35
			Claims Payable	-	-	-	-
			Commission Paid	344.72	1,306.63	289.35	919.61
			Commission Payable	(16.93)	98.90	(6.66)	82.78
Interest Income	-	-	-	-			
Investment in Equity Capital	-	4,500.00	-	4,000.00			
Outstanding Payables	(20.72)	65.78	(67.46)	16.88			
Outstanding Receivables	(12.11)	-	-	-			
Bank Charges	1.82	4.02	0.75	2.16			
Premium Deposits	13.83	136.82	16.18	118.68			
Premium Income	32.86	195.91	111.98	284.03			
Premium Received in Advance	3.74	15.76	(0.24)	0.05			
Purchase of Bonds/Debtenture	-	-	-	-			
Purchase of Fixed Assets	-	-	-	-			
Reimbursement From Group Companies	-	-	-	-			
Reimbursement to Group Companies	148.43	476.91	104.41	432.28			
Transfer of Employee Liability from Group Companies	-	10.87	-	5.48			
Transfer of Employee Liability to Group Companies	-	-	-	-			
7	Kotak Mahindra Life Insurance Limited	Fellow Subsidiary	Employee Insurance Premium	1.18	4.84	0.75	3.05
			Outstanding Payables	(3.67)	2.03	(3.26)	0.84
			Outstanding Receivables	(0.15)	2.02	-	-
			Premium Income	3.52	16.45	0.01	0.50
			Prepaid Expenses	(1.18)	0.11	(0.75)	0.11
			Premium Deposits	(0.39)	-	-	-
			Reimbursement to Group Companies	4.82	14.75	(6.89)	9.74
			Reimbursement From Group Companies	1.98	5.27	-	-
			Rent Recovery	3.73	8.71	-	-
			Rent Premises	2.21	12.26	14.87	14.87
			Premium Received in Advance	1.57	2.35	-	-
			Claims Paid	0.31	3.34	-	-
			Transfer of Employee Liability from Group Companies	-	-	-	-
			Premium Income	0.66	8.49	0.61	3.28
8	Kotak Mahindra Capital Company Limited	Fellow Subsidiary	Claims Paid	3.52	5.11	-	1.08
			Premium Received in Advance	-	0.24	-	-
			Premium Deposits	0.00	0.0001	-	-
			Premium Income	-	0.25	-	0.29
10	Kotak Mahindra Pension Fund Limited	Fellow Subsidiary - 95.71% held by Kotak Mahindra Asset Management Company Limited (a subsidiary of KMBL). 4.29% is held by KMBL	Premium Income	-	0.12	-	0.14
				-	-	-	-
11	BALASUBRAMANIAN T S	Relative of CEO	Premium Deposits	-	-	-	-
12	Mahesh Balasubramanian	Managing Director & CEO	Premium Income	0.53	0.53	0.51	0.51
			Claims Paid	-	0.12	-	-
			Premium Received in Advance	(0.06)	-	-	-
			Remuneration of KMP	56.00	279.21	49.34	247.58
13	Phoenix ARC Private Limited	Enterprises over which individual or their relatives have their significant influence	Premium Deposits	-	-	-	0.01
			Premium Income	(0.00)	1.66	-	2.35
14	Uday Kotak and Relatives	Individual having Significant influence	Premium Deposits	0.40	0.40	-	-
			Claims Paid	-	1.01	-	-
15	Kotak Commodities Services Private Ltd	Enterprises over which individual or their relatives have their significant influence	Premium Income	0.20	1.24	2.02	3.89
			Premium Received in Advance	0.15	1.82	-	2.20
16	Infina Finance Private Ltd	Enterprises over which individual or their relatives have their significant influence	Premium Deposits	0.14	0.14	-	-
			Claims Paid	(0.02)	-	-	-
			Premium Received in Advance	0.17	0.17	-	-
17	Harisiddha Trading and Finance Pvt Ltd	Enterprises over which individual or their relatives have their significant influence	Premium Income	1.01	1.60	0.16	0.67
				-	-	0.03	0.05
18	Palko Properties Private Ltd	Enterprises over which individual or their relatives have their significant influence	Premium Income	-	-	0.03	0.05
				-	-	-	-
19	BSS MICROFINANCE LTD	Fellow Subsidiary	Premium Income	2.00	35.50	-	-
			Claims Paid	2.07	2.07	-	-
			Premium Deposits	2.84	6.95	-	-
20	KOTAK INFRASTRUCTURE DEBT FUND LTD	Fellow Subsidiary	Premium Income	0.19	0.19	-	-
				562	8,289	1,498	8,361