

PERIODIC DISCLOSURES

FORM NL-31 RELATED PARTY TRANSACTIONS - March 31, 2021

(Rs in Lakhs)

Sr.No.	Name of the Related Party	Nature of Relationship with the Company	Description of Transactions / Categories	Consideration paid / received			
				For the quarter ended March 31, 2021	Upto the quarter ended March 31, 2021	For the quarter ended March 31, 2020	Upto the quarter ended March 31, 2020
1	KOTAK INVESTMENT ADVISORS LTD	Fellow Subsidiary	Claims Paid	2.15	2.72	-	0.82
			Premium Deposits	0.26	0.26	0.82	0.82
			Premium Received in Advance	1.00	1.44	0.04	0.44
2	KOTAK MAHINDRA ASSET MANAGEMENT COMPANY LTD	Fellow Subsidiary	Premium Income	1.27	4.56	1.02	2.52
			Claims Paid	0.14	0.84	1.55	8.92
			Premium Deposits	2.64	2.64	(0.53)	0.00
3	KOTAK MAHINDRA INVESTMENT LTD	Fellow Subsidiary	Premium Income	2.05	10.05	3.01	10.64
			Premium Received in Advance	(1.38)	0.68	2.23	2.80
			Premium Deposits	0.00	0.00	(0.40)	0.00
4	KOTAK MAHINDRA PRIME LTD	Fellow Subsidiary	Premium Received in Advance	1.80	4.02	2.21	4.43
			Claims Paid	(1.00)	0.37	(0.01)	0.66
			Advance Commission	0.28	0.24	(0.33)	0.21
			Claims Paid	0.15	0.15	0.51	1.92
			Commission Paid	22.72	110.32	19.15	98.82
			Commission Payable	(0.73)	7.11	(3.04)	4.87
			Premium Deposits	6.09	28.46	1.86	41.00
			Premium Income	2.58	7.67	5.24	17.44
			Premium Received in Advance	(0.45)	0.69	(0.12)	1.14
			Transfer of Employee Liability to Group Companies	0.42	0.42	-	-
			Outstanding Payables	(0.42)	0.89	(0.13)	0.27
Reimbursement to Group Companies	0.65	2.66	0.60	2.96			
Rent Premises	0.46	1.84	0.46	1.84			
5	KOTAK SECURITIES LIMITED	Fellow Subsidiary	Claims Paid	2.43	82.99	3.09	13.87
			Commission Paid	0.49	3.47	0.67	0.87
			Outstanding Payables	(2.21)	-	(0.54)	0.54
			Premium Deposits	(0.51)	0.43	2.18	2.71
			Commission Payable	0.50	3.12	0.87	0.87
			Premium Income	4.27	40.72	6.10	48.78
			Reimbursement to Group Companies	-	-	-	6.00
			Advance Commission	-	-	0.07	0.07
			Premium Received in Advance	0.05	3.13	(1.65)	3.34
			Bank Charges	(8.63)	6.23	(5.88)	3.58
			6	Kotak Mahindra Bank Ltd.	Holding Company	Bank Balance	(647.85)
Claims Paid	20.10	72.08				26.59	157.42
Commission Paid	273.74	1,578.24				319.98	1,319.70
Commission Payable	(48.66)	68.85				(32.33)	70.34
Equity Share Issuance	-	2.500				-	8,500.00
Outstanding Payables	(65.13)	60.97				(5.03)	63.60
Bank Charges	2.06	5.14				1.29	5.38
Premium Deposits	4.65	124.24				46.99	191.37
Premium Income	264.03	398.82				256.09	408.77
Premium Received in Advance	(1.74)	24.97				0.88	32.05
Rent Premises	65.67	263.15				71.97	290.53
Royalty Paid	10.00	10.00				-	-
Reimbursement to Group Companies	81.64	334.05				83.14	342.69
Transfer of Employee Liability to Group Companies	0.34	2.31				0.98	1.84
Employee Insurance Premium	2.14	6.76				5.23	5.23
Outstanding Payables	(7.08)	0.33				(2.08)	0.52
Premium Income	7.13	42.18				7.29	26.33
Prepaid Expenses	(1.29)	0.21	(1.26)	0.00			
Premium Deposits	(2.39)	0.29	(0.38)	1.00			
7	Kotak Mahindra Life Insurance Limited	Fellow Subsidiary	Receivable from Group Company	-	-	(0.81)	1.89
			Reimbursement to Group Companies	0.30	1.66	0.69	5.93
			Reimbursement From Group Companies	-	2.48	1.88	8.25
			Rent Recovery	-	6.40	3.91	15.44
			Rent Premises	0.54	2.09	0.50	6.48
			Reimbursement to Group for Employee Transfer Expense	-	12.02	-	-
			Premium Received in Advance	0.77	3.32	-	3.82
			Claims Paid	2.37	8.14	13.95	17.72
			Employee Asset Transfer	-	-	5.11	5.11
			Transfer of Employee Liability to Group Companies	-	-	11.00	11.00
			8	Kotak Mahindra Capital Company Limited	Fellow Subsidiary	Transfer of Employee Liability from Group Companies	0.60
Premium Income	0.50	6.09				0.60	6.15
Claims Paid	2.22	3.21				0.50	1.34
9	Kotak Mahindra Trusteeship Services Limited	Fellow Subsidiary	Premium Received in Advance	0.00	0.27	-	0.52
			Premium Deposits	-	0.00	-	0.00
			Premium Income	-	0.49	-	0.80
10	Kotak Mahindra Pension Fund Limited	Fellow Subsidiary	Claims Paid	2.14	2.14	-	-
			Premium Received in Advance	-	0.07	-	0.13
			Premium Income	-	0.01	-	-
11	KOTAK INFRASTRUCTURE DEBT FUND LTD	Fellow Subsidiary	Premium Deposits	0.02	0.02	-	-
			Premium Income	0.96	0.96	-	-
			Premium Deposits	0.00	0.00	-	-
12	BSS MICROFINANCE LTD	Fellow Subsidiary	Premium Income	7.25	66.51	12.08	75.94
			Claims Paid	35.42	59.65	3.08	21.22
			Premium Deposits	30.38	38.93	(6.03)	2.31
13	BALASUBRAMANIAN T S	Relative of CEO	Premium Deposits	-	0.00	-	0.00
			Premium Income	-	-	-	0.04
			Premium Received in Advance	0.81	0.93	0.83	0.83
14	Mahesh Balasubramanian	Managing Director & CEO	Claims Paid	-	0.04	-	-
			Premium Deposits	-	0.00	-	0.00
			Premium Received in Advance	(0.04)	0.04	0.02	0.08
15	Phoenix ARC Private Limited	Enterprises over which individual or their relatives have their significant influence	Remuneration of KMP	82.54	266.50	100.21	292.64
			Premium Received in Advance	0.07	0.07	-	-
			Premium Income	0.17	0.71	-	0.99
16	Uday Kotak and Relatives	Individual having Significant Influence	Premium Deposits	-	0.00	-	0.00
			Claims Paid	-	0.29	-	-
			Premium Income	0.54	1.78	0.68	2.54
17	Kotak Commodities Services Private Ltd	Enterprises over which individual or their relatives have their significant influence	Premium Received in Advance	-	0.08	0.13	0.29
			Premium Income	-	0.72	-	0.77
			Claims Paid	-	-	-	0.49
18	Infina Finance Private Ltd	Enterprises over which individual or their relatives have their significant influence	Premium Received in Advance	-	-	-	0.07
			Premium Deposits	-	0.00	0.00	0.00
			Claims Paid	0.15	0.36	-	2.74
19	Harisiddha Trading and Finance Pvt Ltd	Enterprises over which individual or their relatives have their significant influence	Premium Received in Advance	(0.08)	-	(0.08)	0.08
			Premium Income	0.70	1.41	0.18	0.90
			Premium Deposits	-	-	-	-
20	Palko Properties Private Ltd	Enterprises over which individual or their relatives have their significant influence	Premium Income	-	-	-	0.03
			Premium Income	-	-	0.13	19.07
			Claims Paid	-	-	1.99	8.51
21	Kotak Education Foundation	Enterprises over which individual or their relatives have their significant influence	Premium Deposits	-	-	(0.09)	2.10
			Premium Income	0.30	0.56	-	0.16
			Premium Received in Advance	0.16	0.16	-	-
22	Business Standard Private Limited	Enterprises over which individual or their relatives have their significant influence	Claims Paid	-	0.12	-	-
			Premium Income	-	0.01	-	-
			Premium Received in Advance	-	0.23	-	-
23	INSUREKOT SPORTS PVT.LTD	Enterprises over which individual or their relatives have their significant influence	Premium Income	-	-	-	-
			Premium Income	-	0.15	-	-
			Premium Deposits	-	-	-	-
24	KOMAF FINANCIAL SERVICES PVT LTD	Enterprises over which individual or their relatives have their significant influence	Premium Income	-	-	-	-
			Premium Income	-	0.15	-	-
			Premium Deposits	-	-	-	-
				163	6,818	454.24	12,614