

SCHEDULES TO AND FORMING PART OF THE REVENUE ACCOUNTS AND PROFIT AND LOSS ACCOUNT FOR THE QUARTER ENDED ON AND TO BALANCE SHEET AS
AT September 30, 2019

FORM NL-12-INVESTMENT SCHEDULE
INVESTMENTS-SHAREHOLDERS

Rs '000			
S.No	Particulars	As at September 30,2019	As at September 30,2018
	LONG TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	492,458	332,597
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	-	-
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	390,216	227,553
	(e) Other Securities	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	473,760	341,296
5	Other than Approved Investments	48,307	-
	SHORT TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	181,543	52,390
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	35,336	-
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	77,783	35,064
	(e) Other Securities - Commercial Papers	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	3,212	34,967
5	Other than Approved Investments	-	-
	TOTAL	1,702,615	1,023,867

Notes:

- 1). All the Investments are free of any encumbrances.
- 2). All the above Investments are performing assets.
- 3). During the Period under review, there have been investments in Subsidiary, Joint Ventures & Fellow Subsidiaries as below:
 - Investment in Mutual Fund Schemes of Kotak Mahindra Asset Management Company Limited 72,788 (PY NIL) (figures in '000), including ETF investment of Rs.47,784 (PY NIL) (figures in '000).
- 4) Aggregate amount of investments other than listed equity securities and derivative instruments Rs. 55,98,251 (PY 33,52,680) (figures in '000) Market Value: Rs.55,74,811 (PY 32,26,156) (figures in '000).
- 5) Investment maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve month from balance sheet date are categorised as Short Term Investments.
- 6) Value of contracts in relation to investments for Purchases where deliveries are pending NIL (Previous Year NIL), and in respect of sales where payments are overdue Rs. NIL (Previous Year NIL).
- 7) Segregation between Policyholders & Shareholders investments with reference to IRDAI Circular no.: IRDA/F&A/CIR/CPM/056/03/2016 dated April 04, 2016 and Circular No. IRDA/F&A/CIR/CPM/010/01/2017 dated January 12, 2017

**FORM NL-12-INVESTMENT SCHEDULE
INVESTMENTS-POLICYHOLDERS**

S.No	Particulars	Rs '000	
		As at September 30,2019	As at September 30,2018
	LONG TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	1,369,616	1,048,806
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	-	-
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	864,607	421,354
	(e) Other Securities	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	1,049,717	631,968
5	Other than Approved Investments	107,034	-
	SHORT TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	402,248	97,010
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	78,295	-
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	172,344	64,928
	(e) Other Securities - Commercial Papers	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	7,116	64,747
5	Other than Approved Investments	-	-
	TOTAL	4,050,977	2,328,813

Notes:

- 1). All the Investments are free of any encumbrances.
- 2). All the above Investments are performing assets.

3)).During the Period under review, there have been investments in Subsidiary, Joint Ventures & Fellow Subsidiaries as below:

- Investment in Mutual Fund Schemes of Kotak Mahindra Asset Management Company Limited Rs. 72,788 (PY NIL) (figures in '000), including ETF investment of Rs.47,784 (PY NIL) (figures in '000).

4) Aggregate amount of investments other than listed equity securities and derivative instruments Rs. 55,98,251 (PY 33,52,680) (figures in '000) Market Value: Rs.55,74,811 (PY 32,26,156) (figures in '000).

5) Investment maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve month from balance sheet date are categorised as Short Term Investments.

6) Value of contracts in relation to investments for Purchases where deliveries are pending NIL (Previous Year NIL), and in respect of sales where payments are overdue Rs. NIL (Previous Year NIL).

7) Segregation between Policyholders & Shareholders investments with reference to IRDAI Circular no.: IRDA/F&A/CIR/CPM/056/03/2016 dated April 04, 2016 and Circular No. IRDA/F&A/CIR/CPM/010/01/2017 dated January 12, 2017