

SCHEDULES TO AND FORMING PART OF THE REVENUE ACCOUNTS AND PROFIT AND LOSS ACCOUNT FOR THE QUARTER ENDED ON AND TO BALANCE SHEET AS  
AT September 30,2020

FORM NL-12-INVESTMENT SCHEDULE  
INVESTMENTS-SHAREHOLDERS

S.No	Particulars	Rs '000	
		As at September 30,2020	As at September 30,2019
	<b>LONG TERM INVESTMENTS</b>		
1	Government securities and Government guaranteed bonds including Treasury Bills	1,051,832	492,458
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	-	-
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	201,165	390,216
	(e) Other Securities	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	376,234	473,760
5	Other than Approved Investments	-	48,307
	<b>SHORT TERM INVESTMENTS</b>		
1	Government securities and Government guaranteed bonds including Treasury Bills	-	181,543
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	37,491	35,336
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	162,705	77,783
	(e) Other Securities - Commercial Papers	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	11,672	3,212
5	Other than Approved Investments	-	-
	<b>TOTAL</b>	<b>1,841,099</b>	<b>1,702,615</b>

**Notes:**

- 1) All the Investments are free of any encumbrances.
- 2) All the above Investments are performing assets.
- 3) Investment maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve month from balance sheet date are categorised as Short Term Investments.
- 4) Segregation between Policyholders & Shareholders investments with reference to IRDAI Circular no.: IRDA/F&A/CIR/CPM/056/03/2016 dated April 04, 2016 and Circular No. IRDA/F&A/CIR/CPM/010/01/2017 dated January 12, 2017

**FORM NL-12-INVESTMENT SCHEDULE  
INVESTMENTS-POLICYHOLDERS**

S.No	Particulars	Rs '000	
		As at September 30,2020	As at September 30,2019
	<b>LONG TERM INVESTMENTS</b>		
1	Government securities and Government guaranteed bonds including Treasury Bills	3,494,590	1,369,616
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	-	-
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	666,165	864,607
	(e) Other Securities	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	1,245,914	1,049,717
5	Other than Approved Investments	-	107,034
	<b>SHORT TERM INVESTMENTS</b>		
1	Government securities and Government guaranteed bonds including Treasury Bills	-	402,248
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	124,153	78,295
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	538,803	172,344
	(e) Other Securities - Commercial Papers	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	38,654	7,116
5	Other than Approved Investments	-	-
	<b>TOTAL</b>	<b>6,108,279</b>	<b>4,050,977</b>

**Notes:**

- 1). All the Investments are free of any encumbrances.
- 2). All the above Investments are performing assets.

3). During the Period under review, there have been investments in Subsidiary, Joint Ventures & Fellow Subsidiaries as below:

- Investment in Mutual Fund Schemes of Kotak Mahindra Asset Management Company Limited Rs. 49,391 (PY 72,788) (figures in '000), including ETF investment of Rs.NIL (PY 47,784) (figures in '000),

4) Aggregate amount of investments other than listed equity securities and derivative instruments- Rs. 79,49,378 (PY 55,98,251) (figures in '000) Market Value: Rs.80,60,935 (PY 55,74,811) (figures in '000).

5) Investment maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve month from balance sheet date are categorised as Short Term Investments.

6) Value of contracts in relation to investments for Purchases where deliveries are pending Rs.2,23,096 (PY NIL) (figures in '000), and in respect of sales where payments are overdue Rupees 1,08,655 (PY NIL).

7) Segregation between Policyholders & Shareholders investments with reference to IRDAI Circular no.: IRDA/F&A/CIR/CPM/056/03/2016 dated April 04, 2016 and Circular No. IRDA/F&A/CIR/CPM/010/01/2017 dated January 12, 2017