Kotak Mahindra General Insurance Company Limited

IRDAI Registration No. 152 Dated November 18, 2015

SCHEDULES TO AND FORMING PART OF THE REVENUE ACCOUNTS AND PROFIT AND LOSS ACCOUNT FOR THE QUARTER ENDED ON AND TO BALANCE SHEET AS AT September 30,2018

FORM NL-12-INVESTMENT SCHEDULE
INVESTMENTS-SHAREHOLDERS

Rs '000

S.No	Particulars	As at September 30,2018	As at September 30,2017
	LONG TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	332,597	368,531
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	-	-
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	227,553	224,267
	(e) Other Securities	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	341,296	383,999
5	Other than Approved Investments	-	-
	SHORT TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	52,390	134
2	Other Approved Securities	-	-
3	Other Investments	-	
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	-	43,432
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	35,064	24,981
	(e) Other Securities - Commercial Papers	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	34,967	-
5	Other than Approved Investments	-	-
	TOTAL	**1,023,867**	**1,045,344**

Notes:

1). All the Investments are free of any encumbrances.

2). All the above Investments are performing assets.

3).During the Period under review, there have been investments in Subsidiary, Joint Ventures & Fellow Subsidiaries as below:

- Investment in Mutual Fund Schemes of Kotak Mahindra Asset Management Company Limited NIL (PY 35,000) (figures in '000)
- Investment in Debentures of Kotak Mahindra Prime Ltd NIL (PY 50,000) (figures in '000)

4) Aggregate amount of investments other than listed equity securities and derivative instruments- Rs. 33,52,680 (PY 21,96,805) (figures in '000) Market Value: Rs.32,26,156 (PY 22,03,017) (figures in '000).

5). Investment maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve month from balance sheet date are categorised as Short Term Investments.

6). Value of contracts in relation to investments for Purchases where deliveries are pending NIL (Previous Year NIL), and in respect of sales where payments are overdue Rupees NIL (Previous Year NIL).

7) Segregation of Policyhoders & Shareholders with reference to IRDAI Circular no IRDA/F&A/CIR/CPM/056/03/2016 dated April 04, 2016 and Circular No.IRDA/F&A/CIR/CPM/010/01/2017 dated January 12, 2017

FORM NL-12-INVESTMENT SCHEDULE
INVESTMENTS-POLICYHOLDERS

Rs '000

S.No	Particulars	As at September 30,2018	As at September 30,2017
	LONG TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	1,048,806	468,267
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	-	-
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	421,354	226,013
	(e) Other Securities	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	631,968	386,989
5	Other than Approved Investments	-	-
	SHORT TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	97,010	1,247
2	Other Approved Securities	-	-
3	Other Investments	-	
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	-	43,770
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	64,928	25,175
	(e) Other Securities - Commercial Papers	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	64,747	-
5	Other than Approved Investments	-	-
	TOTAL	**2,328,813**	**1,151,461**

Notes:

1). All the Investments are free of any encumbrances.

2). All the above Investments are performing assets.

3)).During the Period under review, there have been investments in Subsidiary, Joint Ventures & Fellow Subsidiaries as below:

- Investment in Mutual Fund Schemes of Kotak Mahindra Asset Management Company Limited Rs. NIL (PY 35,000) (figures in '000)
- Investment in Debentures of Kotak Mahindra Prime Ltd Rs. NIL (PY 50,000) (figures in '000).

4) Aggregate amount of investments other than listed equity securities and derivative instruments- Rs. 33,52,680 (PY 21,96,805) (figures in '000) Market Value: Rs.32,26,156 (PY 22,03,017) (figures in '000).4). Aggregate amount of investments other than listed equity securities and

5). Investment maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve month from balance sheet date are categorised as Short Term Investments.

6). Value of contracts in relation to investments for Purchases where deliveries are pending NIL (Previous Year NIL), and in respect of sales where payments are overdue Rupees NIL (Previous Year NIL).

7) Segregation of Policyhoders & Shareholders with reference to IRDAI Circular no IRDA/F&A/CIR/CPM/056/03/2016 dated April 04, 2016 and Circular No.IRDA/F&A/CIR/CPM/010/01/2017 dated January 12, 2017