

SCHEDULES TO AND FORMING PART OF THE REVENUE ACCOUNTS AND PROFIT AND LOSS ACCOUNT FOR THE QUARTER ENDED ON AND TO BALANCE SHEET AS
AT March 31,2019

FORM NL-12-INVESTMENT SCHEDULE
INVESTMENTS-SHAREHOLDERS

Rs '000

S.No	Particulars	As at March 31,2019	As at March 31,2018
	LONG TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	302,514	193,810
2	Other Approved Securities	-	-
3	Other Investments	-	-
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	-	-
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	189,174	162,275
	(e) Other Securities	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	282,077	351,965
5	Other than Approved Investments	18,173	-
	SHORT TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	50,110	676
2	Other Approved Securities	-	-
3	Other Investments	-	-
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	16,302	10,315
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	37,787	18,032
	(e) Other Securities - Commercial Papers	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	49,977	18,348
5	Other than Approved Investments	-	-
	TOTAL	946,114	755,421

Notes:

- All the Investments are free of any encumbrances.
- All the above Investments are performing assets.
- During the Period under review, there have been investments in Subsidiary, Joint Ventures & Fellow Subsidiaries as below:
 - Investment in Mutual Fund Schemes of Kotak Mahindra Asset Management Company Limited Rs. 47,860 (PY12,000) (figures in '000), including ETF investment of Rs.23,848 (PY NIL) (figures in '000),
 - Investment in Debentures of Kotak Mahindra Prime Ltd Rs. NIL (PY 1,00,012) (figures in '000).
- Aggregate amount of investments other than listed equity securities and derivative instruments- Rs. 40,76,102 (PY 24,88,648) (figures in '000) Market Value: Rs.40,42,029 (PY 24,20,945) (figures in '000).
- Investment maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve month from balance sheet date are categorised as Short Term Investments.
- Value of contracts in relation to investments for Purchases where deliveries are pending NIL (Previous Year NIL), and in respect of sales where payments are overdue Rupees NIL (Previous Year NIL).
- Segregation between Policyholders & Shareholders Investments with reference to IRDAI Circular no IRDA/F&A/CIR/CPM/056/03/2016 dated April 04, 2016 and Circular No. IRDA/F&A/CIR/CPM/010/01/2017 dated January 12, 2017

**FORM NL-12-INVESTMENT SCHEDULE
INVESTMENTS-POLICYHOLDERS**

Rs '000

S.No	Particulars	As at March 31,2019	As at March 31,2018
	LONG TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	1,290,903	737,223
2	Other Approved Securities	-	-
3	Other Investments	-	-
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	-	-
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	561,766	287,790
	(e) Other Securities	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	837,651	624,202
5	Other than Approved Investments	53,967	-
	SHORT TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	148,804	1,199
2	Other Approved Securities	-	-
3	Other Investments	-	-
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	48,412	18,293
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	112,213	31,980
	(e) Other Securities - Commercial Papers	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	148,412	32,539
5	Other than Approved Investments	-	-
	TOTAL	3,202,128	1,733,226

Notes:

- 1). All the Investments are free of any encumbrances.
- 2). All the above Investments are performing assets.
- 3). During the Period under review, there have been investments in Subsidiary, Joint Ventures & Fellow Subsidiaries as below:
 - Investment in Mutual Fund Schemes of Kotak Mahindra Asset Management Company Limited Rs. 47,860 (PY12,000) (figures in '000), including ETF investment of Rs.23,848 (PY NIL) (figures in '000),
 - Investment in Debentures of Kotak Mahindra Prime Ltd Rs. NIL (PY 1,00,012) (figures in '000).
- 4) Aggregate amount of investments other than listed equity securities and derivative instruments- Rs. 40,76,102 (PY 24,88,648) (figures in '000) Market Value: Rs.40,42,029 (PY 24,20,945) (figures in '000).
- 5). Investment maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve month from balance sheet date are categorised as Short Term Investments.
- 6). Value of contracts in relation to investments for Purchases where deliveries are pending NIL (Previous Year NIL), and in respect of sales where payments are overdue Rupees NIL (Previous Year NIL).
- 7) Segregation between Policyholders & Shareholders Investments with reference to IRDAI Circular no IRDA/F&A/CIR/CPM/056/03/2016 dated April 04, 2016 and Circular No. IRDA/F&A/CIR/CPM/010/01/2017 dated January 12, 2017