

SCHEDULES TO AND FORMING PART OF THE REVENUE ACCOUNTS AND PROFIT AND LOSS ACCOUNT FOR THE QUARTER ENDED ON AND TO BALANCE SHEET AS  
AT December 31,2019

FORM NL-12-INVESTMENT SCHEDULE  
INVESTMENTS-SHAREHOLDERS

S.No	Particulars	Rs '000	
		As at December 31,2019	As at December 31,2018
	<b>LONG TERM INVESTMENTS</b>		
1	Government securities and Government guaranteed bonds including Treasury Bills	633,979	167,024
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	-	-
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	405,082	190,626
	(e) Other Securities	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	450,208	379,036
5	Other than Approved Investments	56,462	8,245
	<b>SHORT TERM INVESTMENTS</b>		
1	Government securities and Government guaranteed bonds including Treasury Bills	-	88,496
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	33,645	8,309.00
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	53,746	58,793
	(e) Other Securities - Commercial Papers	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	-	67,965
5	Other than Approved Investments	-	-
	<b>TOTAL</b>	<b>1,633,122</b>	<b>968,494</b>

**Notes:**

- All the Investments are free of any encumbrances.
- All the above Investments are performing assets.
- During the Period under review, there have been investments in Subsidiary, Joint Ventures & Fellow Subsidiaries as below:  
- Investment in Mutual Fund Schemes of Kotak Mahindra Asset Management Company Limited Rs. 94,414 (PY 11,129) (figures in '000), including ETF investment of Rs.63,410 (PY 11,129) (figures in '000).
- Aggregate amount of investments other than listed equity securities and derivative instruments- Rs. 61,54,795 (PY 37,27,855) (figures in '000) Market Value: Rs.61,56,815 (PY 36,64,408) (figures in '000).
- Investment maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve month from balance sheet date are categorised as Short Term Investments.
- Value of contracts in relation to investments for Purchases where deliveries are pending NIL (Previous Year NIL), and in respect of sales where payments are overdue Rs. NIL (Previous Year NIL).
- Segregation between Policyholders & Shareholders investments with reference to IRDAI Circular no.: IRDA/F&A/CIR/CPM/056/03/2016 dated April 04, 2016 and Circular No. IRDA/F&A/CIR/CPM/010/01/2017 dated January 12, 2017

**FORM NL-12-INVESTMENT SCHEDULE  
INVESTMENTS-POLICYHOLDERS**

S.No	Particulars	Rs '000	
		As at December 31,2019	As at December 31,2018
	<b>LONG TERM INVESTMENTS</b>		
1	Government securities and Government guaranteed bonds including Treasury Bills	2,012,518	862,944
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	-	-
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	1,102,478	457,724
	(e) Other Securities	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	1,225,294	910,128
5	Other than Approved Investments	153,667	19,799
	<b>SHORT TERM INVESTMENTS</b>		
1	Government securities and Government guaranteed bonds including Treasury Bills	-	212,494
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	91,569	19,950
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	146,276	141,172
	(e) Other Securities - Commercial Papers	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	-	163,194
5	Other than Approved Investments	-	-
	<b>TOTAL</b>	<b>4,731,802</b>	<b>2,787,405</b>

**Notes:**

- 1). All the Investments are free of any encumbrances.
- 2). All the above Investments are performing assets.

3). During the Period under review, there have been investments in Subsidiary, Joint Ventures & Fellow Subsidiaries as below:

- Investment in Mutual Fund Schemes of Kotak Mahindra Asset Management Company Limited Rs. 94,414 (PY 11,129) (figures in '000), including ETF investment of Rs.63,410 (PY 11,129) (figures in '000),

4) Aggregate amount of investments other than listed equity securities and derivative instruments- Rs. 61,54,795 (PY 37,27,855) (figures in '000) Market Value: Rs.61,56,815 (PY 36,64,408) (figures in '000).

5) Investment maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve month from balance sheet date are categorised as Short Term Investments.

6) Value of contracts in relation to investments for Purchases where deliveries are pending NIL (Previous Year NIL), and in respect of sales where payments are overdue Rs. NIL (Previous Year NIL).

7) Segregation between Policyholders & Shareholders investments with reference to IRDAI Circular no.: IRDA/F&A/CIR/CPM/056/03/2016 dated April 04, 2016 and Circular No. IRDA/F&A/CIR/CPM/010/01/2017 dated January 12, 2017