

**SCHEDULES TO AND FORMING PART OF THE REVENUE ACCOUNTS AND PROFIT AND LOSS ACCOUNT FOR THE QUARTER ENDED ON AND TO BALANCE SHEET AS AT December 31,2018**

**FORM NL-12-INVESTMENT SCHEDULE  
INVESTMENTS-SHAREHOLDERS**

		Rs '000	
S.No	Particulars	As at December 31,2018	As at December 31,2017
	<b>LONG TERM INVESTMENTS</b>		
1	Government securities and Government guaranteed bonds including Treasury Bills	167,024	311,277
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	8,245	-
	(bb) Preference	-	-
	(b) Mutual Funds	-	-
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	190,626	177,324
	(e) Other Securities	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	379,036	380,851
5	Other than Approved Investments	-	-
	<b>SHORT TERM INVESTMENTS</b>		
1	Government securities and Government guaranteed bonds including Treasury Bills	88,496	763
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	8,309	22,085
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	58,793	22,187
	(e) Other Securities - Commercial Papers	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	67,965	22,714
5	Other than Approved Investments	-	-
	<b>TOTAL</b>	<b>968,494</b>	<b>937,200</b>

**Notes:**

- 1). All the Investments are free of any encumbrances.
- 2). All the above Investments are performing assets.
- 3). During the Period under review, there have been investments in Subsidiary, Joint Ventures & Fellow Subsidiaries as below:
  - Investment in Mutual Fund Schemes of Kotak Mahindra Asset Management Company Limited Rs.11129 (PY 20,000) (figures in '000), including ETF investment of Rs. 11129 (PY NIL) (figures in '000),
  - Investment in Debentures of Kotak Mahindra Prime Ltd Rs. NIL (PY 50,000) (figures in '000).
- 4) Aggregate amount of investments other than listed equity securities and derivative instruments- Rs. 37,27,855 (PY 22,97,199) (figures in '000) Market Value: Rs.36,64,408 (PY 22,63,073) (figures in '000).
- 5). Investment maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve month from balance sheet date are categorised as Short Term Investments.
- 6). Value of contracts in relation to investments for Purchases where deliveries are pending NIL (Previous Year NIL), and in respect of sales where payments are overdue Rupees NIL (Previous Year NIL).
- 7) Segregation of Policyholders & Shareholders with reference to IRDAI Circular no IRDA/F&A/CIR/CPM/056/03/2016 dated April 04, 2016 and Circular No.IRDA/F&A/CIR/CPM/010/01/2017 dated January 12, 2017

**FORM NL-12-INVESTMENT SCHEDULE  
INVESTMENTS-POLICYHOLDERS**

S.No	Particulars	Rs '000	
		As at December 31,2018	As at December 31,2017
	<b>LONG TERM INVESTMENTS</b>		
1	Government securities and Government guaranteed bonds including Treasury Bills	862,944	573,745
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	19,799	-
	(bb) Preference	-	-
	(b) Mutual Funds	-	-
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	457,724	222,745
	(e) Other Securities	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	910,128	478,406
5	Other than Approved Investments	-	-
	<b>SHORT TERM INVESTMENTS</b>		
1	Government securities and Government guaranteed bonds including Treasury Bills	212,494	958
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	19,950	27,743
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	141,172	27,870
	(e) Other Securities - Commercial Papers	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	163,194	28,532
5	Other than Approved Investments	-	-
	<b>TOTAL</b>	<b>2,787,405</b>	<b>1,359,999</b>

**Notes:**

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- 2). All the above Investments are performing assets.
- 3). During the Period under review, there have been investments in Subsidiary, Joint Ventures & Fellow Subsidiaries as below:
  - Investment in Mutual Fund Schemes of Kotak Mahindra Asset Management Company Limited Rs.11129 (PY 20,000) (figures in '000), including ETF investment of Rs. 11129 (PY NIL) (figures in '000),
  - Investment in Debentures of Kotak Mahindra Prime Ltd Rs. NIL (PY 50,000) (figures in '000).
- 4) Aggregate amount of investments other than listed equity securities and derivative instruments- Rs. 37,27,855 (PY 22,97,199) ( figures in '000) Market Value: Rs.36,64,408 (PY 22,63,073) ( figures in '000).
- 5). Investment maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve month from balance sheet date are categorised as Short Term Investments.
- 6). Value of contracts in relation to investments for Purchases where deliveries are pending NIL (Previous Year NIL), and in respect of sales where payments are overdue Rupees NIL (Previous Year NIL).
- 7) Segregation of Policyholders & Shareholders with reference to IRDAI Circular no IRDA/F&A/CIR/CPM/056/03/2016 dated April 04, 2016 and Circular No.IRDA/F&A/CIR/CPM/010/01/2017 dated January 12, 2017