

SCHEDULES TO AND FORMING PART OF THE REVENUE ACCOUNTS AND PROFIT AND LOSS ACCOUNT FOR THE QUARTER ENDED ON AND TO BALANCE SHEET AS
AT June 30, 2019

FORM NL-12-INVESTMENT SCHEDULE
INVESTMENTS-SHAREHOLDERS

Rs '000

S.No	Particulars	As at June 30, 2019	As at June 30, 2018
	LONG TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	134,282	468,158
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	-	-
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	291,260	206,602
	(e) Other Securities	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	474,818	362,287
5	Other than Approved Investments	33,736	-
	SHORT TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	268,942	741
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	10,700	11,890
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	43,535	82,807
	(e) Other Securities - Commercial Papers	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	57,704	20,921
5	Other than Approved Investments	-	-
	TOTAL	1,314,977	1,153,406

Notes:

- All the Investments are free of any encumbrances.
- All the above Investments are performing assets.
- During the Period under review, there have been investments in Subsidiary, Joint Ventures & Fellow Subsidiaries as below:
 - Investment in Mutual Fund Schemes of Kotak Mahindra Asset Management Company Limited Rs. 49,619 (PY12,000) (figures in '000), including ETF investment of Rs.42,616 (PY NIL) (figures in '000)
 - Investment in Debentures of Kotak Mahindra Prime Ltd Rs. NIL (PY 49,962) (figures in '000).
- Aggregate amount of investments other than listed equity securities and derivative instruments- Rs. 47,60,469 (PY 30,60,480) (figures in '000) Market Value: Rs.47,34,282 (PY 29,59,322) (figures in '000).
- Investment maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve month from balance sheet date are categorised as Short Term Investments.
- Value of contracts in relation to investments for Purchases where deliveries are pending Rs.97,093 (Previous Year NIL), and in respect of sales where payments are overdue Rs. 1,05,978 (Previous Year NIL) (figures in '000).
- Segregation between Policyholders & Shareholders investments with reference to IRDAI Circular no IRDA/F&A/CIR/CPM/056/03/2016 dated April 04, 2016 and Circular No. IRDA/F&A/CIR/CPM/010/01/2017 dated January 12, 2017

**FORM NL-12-INVESTMENT SCHEDULE
INVESTMENTS-POLICYHOLDERS**

Rs '000

S.No	Particulars	As at June 30, 2019	As at June 30, 2018
	LONG TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	674,313	936,484
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	-	-
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	712,284	292,633
	(e) Other Securities	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	1,161,178	513,145
5	Other than Approved Investments	82,501	-
	SHORT TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	657,703	1,050
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	-
	(b) Mutual Funds	26,168	16,840
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	106,465	117,289
	(e) Other Securities - Commercial Papers	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	141,117	29,633
5	Other than Approved Investments	-	-
	TOTAL	3,561,729	1,907,074

Notes:

- 1). All the Investments are free of any encumbrances.
- 2). All the above Investments are performing assets.
- 3). During the Period under review, there have been investments in Subsidiary, Joint Ventures & Fellow Subsidiaries as below:
 - Investment in Mutual Fund Schemes of Kotak Mahindra Asset Management Company Limited Rs. 49,619 (PY12,000) (figures in '000), including ETF investment of Rs.42,616 (PY NIL) (figures in '000),
 - Investment in Debentures of Kotak Mahindra Prime Ltd Rs. NIL (PY 49,962) (figures in '000).
- 4) Aggregate amount of investments other than listed equity securities and derivative instruments- Rs. 47,60,469 (PY 30,60,480) (figures in '000) Market Value: Rs.47,34,282 (PY 29,59,322) (figures in '000).
- 5). Investment maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve month from balance sheet date are categorised as Short Term Investments.
- 6) Value of contracts in relation to investments for Purchases where deliveries are pending Rs.97,093 (Previous Year NIL), and in respect of sales where payments are overdue Rs. 1,05,978 (Previous Year NIL) (figures in '000).
- 7) Segregation between Policyholders & Shareholders investments with reference to IRDAI Circular no IRDA/F&A/CIR/CPM/056/03/2016 dated April 04, 2016 and Circular No. IRDA/F&A/CIR/CPM/010/01/2017 dated January 12, 2017