

FORM NL-12 & 12A - INVESTMENT SCHEDULE

Name of the Insurer: Kotak Mahindra General Insurance Company Limited
Registration No. 152 and Date of Registration with the IRDAI November 18,2015



Particulars	NL -12		NL -12A		(Amount in Rs. Lakhs)	
	Shareholders		Policyholders		Total	
	As at September 30, 2021	As at September 30, 2020	As at September 30, 2021	As at September 30, 2020	As at September 30, 2021	As at September 30, 2020
LONG TERM INVESTMENTS						
1 Government securities and Government guaranteed bonds including Treasury Bills	20,535	10,518	56,207	34,946	76,742	45,464
2 Other Approved Securities	-	-	-	-	-	-
3 Other Investments	-	-	-	-	-	-
(a) Shares	-	-	-	-	-	-
(aa) Equity	-	-	-	-	-	-
(bb) Preference	-	-	-	-	-	-
(b) Mutual Funds	-	-	-	-	-	-
(c) Derivative Instruments	-	-	-	-	-	-
(d) Debentures/ Bonds	2,016	2,012	5,519	6,662	7,535	8,673
(e) Other Securities (to be specified)	-	-	-	-	-	-
(f) Subsidiaries	-	-	-	-	-	-
(g) Investment Properties-Real Estate	-	-	-	-	-	-
4 Investments in Infrastructure and Housing	3,900	3,762	10,675	12,459	14,576	16,221
5 Other than Approved Investments	418	-	1,143	-	1,560	-
TOTAL	26,869	16,292	73,544	54,067	1,00,414	70,359
SHORT TERM INVESTMENTS						
1 Government securities and Government guaranteed bonds including Treasury Bills	269	-	735	-	1,004	-
2 Other Approved Securities	-	-	-	-	-	-
3 Other Investments	-	-	-	-	-	-
(a) Shares	-	-	-	-	-	-
(aa) Equity	-	-	-	-	-	-
(bb) Preference	-	-	-	-	-	-
(b) Mutual Funds	237	375	649	1,242	887	1,616
(c) Derivative Instruments	-	-	-	-	-	-
(d) Debentures/ Bonds	673	1,627	1,842	5,388	2,515	7,015
(e) Other Securities (to be specified)	401	-	1,099	-	1,500	-
(f) Subsidiaries	-	-	-	-	-	-
(g) Investment Properties-Real Estate	-	-	-	-	-	-
4 Investments in Infrastructure and Housing	1,163	117	3,184	387	4,347	503
5 Other than Approved Investments	-	-	-	-	-	-
TOTAL	2,744	2,119	7,509	7,016	10,253	9,135
GRNAD TOTAL	29,613	18,411	81,054	61,083	1,10,667	79,494

Notes:

A) Aggregate value of Investments other than Listed Equity Securities and Derivative Instruments

(Amount in Rs. Lakhs)

Particulars	Shareholders		Policyholders		Total	
	As at September 30, 2021	As at September 30, 2020	As at September 30, 2021	As at September 30, 2020	As at September 30, 2021	As at September 30, 2020
Long Term Investments--						
Book Value	26,869	16,319	73,544	54,040	1,00,414	70,359
market Value	27,091	16,540	74,150	54,773	1,01,240	71,313
Short Term Investments--						
Book Value	2,744	2,119	7,509	7,016	10,253	9,135
market Value	2,774	2,156	7,592	7,140	10,366	9,296

1) All the Investments are free of any encumbrances.

2) All the above Investments are performing assets.

3) During the Period under review, there have been investments in Subsidiary, Joint Ventures & Fellow Subsidiaries as below:

- Investment in Mutual Fund Schemes of Kotak Mahindra Asset Management Company Limited Rs. 1,04,410 (PY 49,391) (figures in '000), including ETF investment of Rs.55,743 (PY NIL) (figures in '000).

4) Aggregate amount of investments other than listed equity securities and derivative instruments- Rs. 109,10,606 (PY 79,49,378) (figures in '000) Market Value: Rs.110,04,558 (PY 80,60,935) (figures in '000).

5) Investment maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve month from balance sheet date are categorised as Short Term Investments.

6) Value of contracts in relation to investments for Purchases where deliveries are pending Rs.50,609 (PY 2,23,096) (figures in '000), and in respect of sales where payments are overdue Rupees NIL (PY 1,08,655).

7) Segregation between Policyholders & Shareholders investments with reference to IRDAI Circular no.: IRDA/F&A/CIR/CPM/056/03/2016 dated April 04, 2016 and Circular No. IRDA/F&A/CIR/CPM/010/01/2017 dated January 12, 2017