

PERIODIC DISCLOSURES

FORM NL-31 RELATED PARTY TRANSACTIONS - 31st MARCH 2018

(Rs in Lakhs)

Sr.No.	Name of the Related Party	Nature of Relationship with the Company	Description of Transactions / Categories	Consideration paid / received*			
				For the quarter ended 31st March 2018	Upto the quarter ended 31st March 2018	For the quarter ended 31st March 2017	Upto the quarter ended 31st March 2017
1	KOTAK INVESTMENT ADVISORS LTD	Fellow Subsidiary	Claims Paid	0.55	0.73	-0.83	-
			Claims Payable	-	-	-	-
			Policy Deposit	-	-	-	-
			Premium Received in Advance	0.42	0.42	-	-
			Unallocated Premium	-0.26	1.20	-0.0006	-
2	KOTAK MAHINDRA ASSET MANAGEMENT COMPANY LTD	Fellow Subsidiary	Premium Income	3.23	6.91	0.94	4.25
			Claims Paid	-	0.38	-2.01	0.18
			Interest Paid	0.0009	0.0009	-	-
			Unallocated Premium	-0.96	0.0011	0.30	0.51
			Premium Income	1.95	7.69	1.89	6.70
3	KOTAK MAHINDRA INVESTMENT LTD	Fellow Subsidiary	Premium Received in Advance	-0.22	-	-0.49	-
			Unallocated Premium	-	0.00003	0.23	0.23
			Premium Income	0.18	3.04	0.20	3.15
			Premium Received in Advance	-	-	1.10	1.10
			Advance Commission	0.15	0.44	0.28	0.39
4	KOTAK MAHINDRA PRIME LTD	Fellow Subsidiary	Claims Paid	-	-	4.45	4.78
			Commission Paid	27.26	107.08	15.30	54.73
			Commission Payable	1.82	9.61	2.08	6.17
			Payable to Group Companies	-	-	-	-
			Policy Suspense	-	-	-	-
			Unallocated Premium	-9.66	19.62	4.67	17.31
			Premium Income	2.72	15.11	1.04	5.45
			Premium Received in Advance	-	-	-	-
			Int Accrued - Bonds/ Debentures	14.63	38.02	-	-
			Interest Income	11.01	34.40	-	-
			Investment in Bonds	500.00	1000.00	-	-
			Outstanding Payables	2.01	2.01	-	-
			Reimbursement to Group Companies	1.86	1.86	-	-
			Rent Premises	1.53	1.53	-	-
			5	KOTAK SECURITIES LIMITED	Fellow Subsidiary	Claims Paid	0.16
Claims Payable	-	-				-0.46	-
Unallocated Premium	0.04	0.34				-0.0001	-
Premium Income	3.65	35.75				4.66	21.67
Premium Received in Advance	1.87	1.87				0.51	0.71
6	Kotak Mahindra Bank Ltd.	Holding Company	Advance Commission	0.47	4.07	0.64	1.80
			Bank Balance	420.96	889.23	56.62	251.47
			Claims Paid	6.56	24.35	-17.61	3.16
			Claims Payable	-	-	-7.66	-
			Commission Paid	289.35	919.61	105.20	308.92
			Commission Payable	-6.66	82.78	4.49	33.44
			Interest Income	-	-	-14.56	-
			Investment in Equity Capital	-	4000.00	-	-
			Outstanding Payables	-67.46	16.88	-16.73	42.69
			Outstanding Receivables	-	-	-1.68	0.55
			Bank Charges	0.75	2.16	-	-
			Unallocated Premium	15.18	118.68	62.55	88.64
			Premium Income	111.98	284.03	113.06	238.29
			Premium Received in Advance	-0.24	0.05	4.56	4.86
			Purchase of Bonds/Debtenture	-	-	519.82	1033.07
Purchase of Fixed Assets	-	-	-	-			
Reimbursement From Group Companies	-	-	-1.60	0.53			
Reimbursement to Group Companies	104.41	432.28	107.06	397.92			
Reimbursement to Group Companies	-	-	0.75	0.75			
Transfer of Employee Liability from Group Companies	-	5.48	-	4.25			
7	Kotak Mahindra Old Mutual Life Insurance Limited	Fellow Subsidiary	Employee Insurance Premium	0.75	3.05	1.03	2.99
			Outstanding Payables	-3.26	0.84	-1.60	1.94
			Premium Income	0.01	0.50	-0.15	0.15
			Prepaid Expenses	-0.75	0.11	-1.03	0.11
			Reimbursement to Group Companies	-6.89	9.74	5.21	22.14
8	Kotak Mahindra Capital Company Limited	Fellow Subsidiary	Rent Premises	14.87	14.87	-	-
			Transfer of Employee Liability from Group Companies	-	-	-	0.30
			Premium Income	0.61	3.28	0.61	1.94
			Claims Paid	-	1.08	-	-
			Unallocated Premium	-	-	-	-
9	Kotak Mahindra Trusteeship Services Limited	Fellow Subsidiary	Premium Income	-	0.29	-	0.37
				-	-	-	-
10	Kotak Mahindra Pension Fund Limited	Fellow Subsidiary - 95.71% held by Kotak Mahindra Asset Management Company Limited (a subsidiary of KMBL), 4.29% is held by KMBL	Premium Income	-	0.14	-	0.14
				-	-	-	-
11	BALASUBRAMANIAN T S	Relative of CEO	Premium Income	-	0.05	-	0.04
				-	-	-	-
12	Maresh Balasubramanian	Managing Director & CEO	Premium Income	0.51	0.51	0.36	0.36
			Remuneration of KMP	49.34	247.58	60.02	240.30
13	Phoenix ARC Private Limited		Unallocated Premium	-	0.0065	-	-
			Premium Income	-	2.35	0.48	1.77
14	Uday Kotak and Relatives	Executive Vice Chairman & Managing Director of Holding Company - Kotak Mahindra Bank Limited	Unallocated Premium	-	-	0.38	0.38
			Premium Income	2.02	3.89	2.06	2.62
15	Kotak Commodities Services Private Ltd		Premium Income	-	2.20	-0.72	1.77
				-	-	-	-
16	Infina Finance Private Ltd		Premium Income	0.16	0.67	0.18	0.18
				-	-	-	-
17	Harisiddha Trading and Finance Pvt Ltd		Premium Income	0.03	0.05	-	-
				-	-	-	-
18	Palko Properties Private Ltd		Premium Income	0.03	0.05	-	-
				-	-	-	-
				1,497.7	8,361.1	1,012.2	2,815.4