

PERIODIC DISCLOSURES

FORM NL-31 RELATED PARTY TRANSACTIONS - 31st DECEMBER 2017

(Rs in Lakhs)

| Sr.No. | Name of the Related Party | Nature of Relationship with the Company | Description of Transactions / Categories | Consideration paid / received* | | | |
|---|--|--|---|--|---|--|---|
| | | | | For the quarter ended 31st December 2017 | Upto the quarter ended 31st December 2017 | For the quarter ended 31st December 2016 | Upto the quarter ended 31st December 2016 |
| 1 | KOTAK INVESTMENT ADVISORS LTD | Fellow Subsidiary | Claims Paid | 0.0 | 0.2 | (0.2) | 0.8 |
| | | | Claims Payable | - | - | - | - |
| | | | Policy Deposit | - | - | - | - |
| | | | Premium Deposits | 1.5 | 1.5 | - | 0.0 |
| | | | Premium Income | 1.4 | 3.7 | 1.3 | 3.4 |
| 2 | KOTAK MAHINDRA ASSET MANAGEMENT COMPANY LTD | Fellow Subsidiary | Claims Paid | -2.0 | 0.4 | 2.2 | 2.2 |
| | | | Premium Deposits | 1.0 | 1.0 | 0.2 | 0.2 |
| | | | Premium Income | 2.1 | 5.7 | 1.3 | 4.8 |
| | | | Premium Received in Advance | 0.2 | 0.2 | 0.4 | 0.5 |
| 3 | KOTAK MAHINDRA INVESTMENT LTD | Fellow Subsidiary | Premium Deposits | - | - | - | - |
| | | | Premium Deposits | - | - | - | - |
| | | | Premium Income | 0.6 | 2.9 | 0.5 | 2.9 |
| | | | Premium Deposits | 0.0 | 0.0 | - | - |
| 4 | KOTAK MAHINDRA PRIME LTD | Fellow Subsidiary | Advance Commission | -0.1 | 0.3 | 0.0 | 0.1 |
| | | | Claims Paid | -57.1 | - | 0.3 | 0.3 |
| | | | Commission Paid | 28.0 | 79.8 | 13.6 | 39.4 |
| | | | Commission Payable | -1.3 | 7.8 | 0.5 | 4.1 |
| | | | Payable to Group Companies | - | - | - | - |
| | | | Policy Suspende | - | - | - | - |
| | | | Premium Deposits | 18.9 | 29.3 | (3.4) | 12.6 |
| | | | Premium Income | 3.4 | 12.4 | 2.3 | 4.4 |
| | | | Premium Received in Advance | - | - | (0.2) | - |
| | | | Int Accrued - Bonds/ Debentures | 9.8 | 23.4 | - | - |
| | | | Interest Income | 9.8 | 23.4 | - | - |
| | | | Investment in Bonds | - | 500 | - | - |
| | | | Commission Paid in Advance | - | - | - | - |
| | | | 5 | KOTAK SECURITIES LIMITED | Fellow Subsidiary | Claims Paid | -1.3 |
| Claims Payable | - | - | | | | 0.5 | 0.5 |
| Premium Deposits | 0.3 | 0.3 | | | | 0.0 | 0.0 |
| Premium Income | 16.5 | 32.1 | | | | 8.5 | 17.0 |
| Premium Received in Advance | - | - | | | | 0.2 | 0.2 |
| 6 | Kotak Mahindra Bank Ltd. | Holding Company | Advance Commission | -0.6 | 3.6 | 0.5 | 1.2 |
| | | | Bank Balance | 229.2 | 468.3 | 91.6 | 194.9 |
| | | | Claims Paid | -32.3 | 17.8 | 15.4 | 20.8 |
| | | | Claims Payable | - | - | 6.9 | 7.7 |
| | | | Commission Paid | 259.2 | 630.3 | 83.0 | 203.7 |
| | | | Commission Payable | 20.8 | 89.4 | 10.7 | 29.0 |
| | | | Interest Income | - | - | - | 14.6 |
| | | | Investment in Equity Capital | - | 4000.0 | - | - |
| | | | Outstanding Payables | -29.6 | 84.3 | (21.6) | 59.4 |
| | | | Outstanding Receivables | -4.5 | 0.0 | 2.2 | 2.2 |
| | | | Bank Charges | 0.6 | 1.4 | - | - |
| | | | Premium Deposits | 41.4 | 102.5 | (29.7) | 26.1 |
| | | | Premium Income | 51.1 | 172.1 | 38.1 | 125.2 |
| | | | Premium Received in Advance | 0.3 | 0.3 | 0.1 | 0.3 |
| | | | Purchase of Bonds/Debenture | - | - | - | 513.3 |
| | | | Purchase of Fixed Assets | - | - | - | - |
| | | | Reimbursement From Group Companies | - | - | 2.1 | 2.1 |
| | | | Reimbursement to Group Companies | 112.3 | 327.9 | 93.8 | 290.9 |
| | | | Reimbursement to Group Companies | - | - | - | - |
| | | | Reimbursement to Group Companies | - | - | - | - |
| | | | Reimbursement to Group Companies | - | - | - | - |
| | | | Transfer of Employee Liability from Group Companies | 0.9 | 5.5 | - | 4.2 |
| | | | Transfer of Employee Liability from Group Companies | - | - | - | - |
| Transfer of Employee Liability to Group Companies | - | - | 0.2 | 0.2 | | | |
| 7 | Kotak Mahindra Old Mutual Life Insurance Limited | Fellow Subsidiary | Employee Insurance Premium | 0.8 | 2.3 | 1.0 | 2.0 |
| | | | Outstanding Payables | 0.2 | 4.1 | (0.5) | 3.5 |
| | | | Premium Income | 0.3 | 0.5 | - | 0.3 |
| | | | Prepaid Expenses | -0.8 | 0.9 | (1.0) | 1.1 |
| | | | Reimbursement to Group Companies | 5.3 | 16.6 | 5.1 | 16.9 |
| 8 | Kotak Mahindra Capital Company Limited | Fellow Subsidiary | Premium Income | 0.4 | 2.7 | 0.6 | 1.3 |
| | | | Claims Paid | 0.8 | 1.1 | - | - |
| | | | Premium Deposits | - | - | - | - |
| 9 | Kotak Mahindra Trusteeship Services Limited | Fellow Subsidiary | Premium Income | - | 0.3 | - | 0.4 |
| | | | | | | | |
| 10 | Kotak Mahindra Pension Fund Limited | Fellow Subsidiary – 95.71% held by Kotak Mahindra Asset Management Company Limited (a subsidiary of KMBL), 4.29% is held by KMBL | Premium Income | 0.1 | 0.1 | 0.1 | 0.1 |
| | | | | | | | |
| 11 | BALASUBRAMANIAN T S | Relative of CEO | Premium Income | 0.0 | 0.0 | 0.0 | 0.0 |
| 12 | Mahesh Balasubramanian | Managing Director & CEO | Premium Income | - | - | - | - |
| | | | Remuneration of KMP | 54.8 | 198.2 | 56.1 | 180.3 |
| 13 | GAURANG B SHAH | Non Executive Director of KGI | Premium Income | - | - | - | 0.1 |
| 14 | ARUN AGARWAL | Independent Director of KGI | Premium Income | - | - | 0.5 | 0.5 |
| | | | | | | | |
| | VIRAT Diwanji | | | | | | |
| | | | | 742.6 | 6,856.4 | 386.7 | 1,799.8 |